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## A Thesis Presented to Fulfill the Partial Requirement for Master's Degree in Computer Science

**Option:** Networks and Intelligent Systems

#### Title

# Enhancement of DCT-based Image Compression using Trigonometric Functions

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#### **ABSTRACT**

With the explosion use of multimedia in this millennium, image compression became indispensable for information technology users. It is a class of techniques that comes to efficiently store and transmit images while maintaining the best possible quality. There are several software and methods that respond to this problem of compression by maintaining a good balance between compression ratio and reconstructed image quality. In this thesis, we present an approach that uses trigonometric functions combined with the famous DCT transform. Our aim is to best utilize the sine and cosine reductive property and explore it better to gain more compression. Our experimental results showed a clear enhancement over the original DCT. Moreover, our method outperforms JPEG and JPEG2000 in terms of compression ratio as well as the PSNR.

**Keywords**: lossy image compression, DCT, trigonometric functions, compression ratios, image quality.

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My thanks also go to my colleagues of the mathematical and computer science department for the nice scientific and social work we did together during our bachelor's as well as our master's degree studies.

### **DEDICATION**

I would like to dedicate this work to

My mother and father

My beloved wife and children

My sisters and brothers

My big family

My friends and colleagues especially BAROUDI Mekki

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#### INTRODUCTION

Image compression is the science of minimizing the size in bytes of a graphics file without degrading the quality of the image to an unacceptable level. The reduction in file size allows more images to be stored in a disk or memory space. It also reduces the time required for images to be sent over the Internet or to be downloaded from web pages.

In the literature, there are several methods of image compression that claim good results in terms of reconstructed image quality. But with the rapid development of Internet, the need of creating methods of more efficiency is always necessary.

Trigonometric functions are widely used in the compression domain. In fact the discrete cosine transform (DCT) is much known as good compressor in the domain of images. In this work, we propose an enhancement of DCT using trigonometric functions such as sine and arcsine.

This thesis is organized as follow:

Chapter 1 presents image compression in general skim, and its categories.

Chapter 2 explains the principle of two known methods, which are JPEG and JPEG2000.

Chapter 3 gives a mathematical definition of some trigonometric functions such as, DCT, DST, sine, cosine, arcsine, arccosine functions.

Chapter 4 exposes the proposed method, and presents its results along with a comparison with famous compressors JPEG and JPEG2000 results.

At the end, this thesis is concluded by a general conclusion and some perspectives.

#### **CHAPTER 1**

#### GENERAL SCHEME OF IMAGE COMPRESSION

#### INTRODUCTION

Images are important documents today; to work with them in some applications there is need to be compressed. In other words, using the data compression, the size of a particular file can be reduced. This is very useful when processing, storing or transferring a huge file, which needs lots of resources.

Image compression addresses the problem of reducing the amount of information required to represent a digital image. It is a process intended to yield a compact representation of an image, thereby reducing the image storage transmission requirements. There are two major categories of compression algorithms: lossy and lossless.

In this chapter we present the general scheme of image compression and some common compression algorithms, which include both lossless and lossy methods.

#### 1. Digital Image

#### 1.1 Definition

A digital image is represented by a two-dimensional array of pixels, which are arranged in rows and columns. Hence, a digital image can be presented as M×N array (Rfael & Richard, 2002).

$$Image = \begin{bmatrix} f(0,0) & \cdots & f(0,N-1) \\ \vdots & \ddots & \vdots \\ f(M-1,0) & \cdots & f(N-1,M-1) \end{bmatrix}$$

Where f(0, 0) is a function that gives the pixel of the left top corner of the array that represents the image and f(M-1, N-1) represents the right bottom corner of the array. A Grey-scale image, also referred to as a monochrome image contains the values ranging from 0 to 255, where 0 is black, 255 is white and values in between are shades of grey. In color images, each pixel of the array is constructed by combining three different channels (RGB) which are R=red, G=green and B=blue. Each channel represents a value from 0 to 255. In digital image, each pixel is stored in three bytes, while in a Grey image is represented by only one byte. Therefore, color images take three times the size of Gray images (Firas A. Jassim, October 2012).

#### 1.2 The pixel concept

An image consists of a set of points called pixels (the word pixel is an abbreviation of PICtureELement) the pixel is thus the smallest component of a digital image. The entire set of these pixels is contained in a two-dimensional table constituting the image:

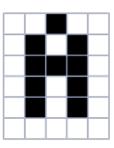


Figure 1: The pixel: is thus the smallest component of a digital image

Since screen-sweeping is carried out from left to right and from top to bottom, it is usual to indicate the pixel located at the top left hand corner of the image using the coordinates [0,0], this means that the directions of the image axes are the following:

• The direction of the X-axis is from left to right

• The direction of the Y-axis is from top to bottom, contrary to the conventional notation in mathematics, where the direction of the Y-axis is upwards.

#### **1.3** Types of file formats

There are a great number of file formats. The most commonly used graphic file formats are the following (THON, 2013-2014):

Maximum Maximum number Format Compression dimensions of colors **BMP** None /RLE 65536 x 65536 16777216 GIF LZW 65536 x 65536 256 **IFF** None /RLE 65536 x 65536 over 16,777,216 JPEG **JPEG** 65536 x 65536 over 16,777,216 **PCX** None /RLE 65536 x 65536 16 ,777,216 PNG RLE 65536 x 65536 over 16,777,216 TGA None /RLE 65536 x 65536 over 16,777,216 Packbits / CCITT  $2^{32}$  -1 TIFF/TIF G3&4 / RLE / JPEG over 16,777,216 /LZW / UIT-T

**Table 1: Some famous file formats** 

#### 2. Image compression

Image compression addresses the problem of reducing the amount of information required to represent a digital image. It is a process intended to yield a compact representation of an image, thereby reducing the image storage transmission requirements. Every image will have redundant data. Redundancy means the duplication of data in the image. Either it may be repeating pixel across the image or pattern, which is repeated more frequently in the image. The image compression occurs by taking benefit of redundant information of in the image. Reduction of redundancy provides helps to achieve a saving of storage space of an image. Image compression is achieved when one or more of these redundancies are reduced or eliminated. In image compression, three basic data redundancies can be

identified and exploited. Compression is achieved by the removal of one or more of the three basic data redundancies (Gaurav, Sanjay, & Rajeev, October 2013).

#### A. Inter Pixel Redundancy

In image neighboring pixels are not statistically independent. It is due to the correlation between the neighboring pixels of an image. This type of redundancy is called Inter-pixel redundancy. This type of redundancy is sometime also called spatial redundancy. This redundancy can be explored in several ways, one of which is by predicting a pixel value based on the values of its neighboring pixels. In order to do so, the original 2-D array of pixels is usually mapped into a different format, e.g., an array of differences between adjacent pixels. If the original image pixels can be reconstructed from the transformed data set the mapping is said to be reversible (Wei, 2006).

#### **B.** Coding Redundancy

Consists in using variable length code words selected as to match the statistics of the original source, in this case, the image itself or a processed version of its pixel values. This type of coding is always reversible and usually implemented using lookup tables. Examples of image coding schemes that explore coding redundancy are the Huffman codes and the arithmetic coding technique.

#### C. Psycho Visual Redundancy

Many experiments on the psycho physical aspects of human vision have proven that the human eye does not respond with equal sensitivity to all incoming visual information; some pieces of information are more important than others. Most of the image coding algorithms in use today exploit this type of redundancy, such as the Discrete Cosine Transform (DCT) based algorithm at the heart of the JPEG encoding standard.

#### 3. Types of compression

The following section takes a closer look at lossy and lossless compression techniques. (Gaurav, Sanjay, & Rajeev, October 2013).

In the case of video, compression ratio causes some information to be lost; some information at a detailed level is considered not essential for a reasonable reproduction of scene. This type of compression is called lossy compression, audio compression on the other hand is not lossy, and it is called loss less compression.

#### 3.1 Lossless Compression

In lossless compression scheme, the reconstructed image after compression is numerically identical to original image. However lossless compression can only achieve a modest amount of compression. Lossless coding guaranties that the decompressed image is absolutely identical to the image before compression.

This is an important requirement for some application domain, e.g. medical imaging, where not only high quality is in demand but unaltered archiving is a legal requirement. Lossless technique can also be used for the compression of other data types where loss of information is not acceptable, e.g. text document and program executable.

#### 3.2 Lossy Compression

Lossy is a term applied to data compression technique in which some amount of the original data is lost during the compression process. Lossy image compression applications attempt to eliminate redundant or unnecessary information in terms of what the human eye can perceive. An image reconstructed following lossy compression contains degradation relative to the original image. Often this is because the compression scheme completely discards redundant information. However, lossy scheme are capable of achieving much higher compression. Under normal viewing condition, no visible loss is perceived (visually lossless). Lossy image data compression is useful for application to the world wide images for quicker transfer across the internet. An image reconstructed following

lossy compression contains degradation relative to the original. Often this is because the compression scheme completely discards the redundant information.

#### 4. Error Metrics

Two of the error metrics used to compare the various image compression techniques are Mean Square Error (MSE) and Peak Signal to Noise Ratio (PSNR). The MSE is the cumulative squared error between the compressed and the original image, whereas PSNR is a measure of the peak error. if you find a compression scheme having a lower MSE (and a high PSNR), you can recognize that it is a better one.

The mathematical formulae for the two are (Yusra & Chen, 2012):

$$MSE = \frac{1}{mn} \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} [I(i,j) - K(i,j)]^{2}$$

$$PSNR = 10log_{10} \left(\frac{s^2}{MSE}\right) (dB)$$

Where I is the original image, K is the approximated version (which is actually the decompressed image) and m and n are the dimensions of the images and S is a maximum pixel value. A lower value for MSE means lesser error, and as seen from the inverse relation between the MSE and PSNR, this translates to a high value of PSNR. Logically, a higher value of PSNR is good because it means that the ratio of Signal to Noise is higher. Here, the 'signal' is the original image, and the 'noise' is the error in reconstruction. So, if you find a compression scheme having a lower MSE (and a high PSNR), you can recognize that it is a better one.

#### 5. Image compression techniques

On the bases of our requirements image compression techniques are broadly bifurcated in following two major categories.

• Lossless image compression

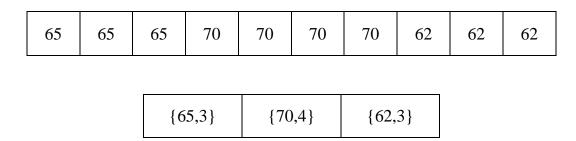
• Lossy image compression

#### 6. Lossless Compression Techniques

Lossless compression reduces the image size by encoding all the information from the original file, so when the image is decompressed, it will be exactly identical to the original image. There are several algorithms and techniques proposed in this filed, we can present the most popular one.

#### **6.1 RLE Compression**

Run-length encoding (RLE) is a very simple form of image compression in which runs of data are stored as a single data value and count, rather than as the original run. It is used for sequential data and it is helpful for repetitive data (Sonal, 2015). In this technique replaces sequences of identical symbol (pixel), called runs. The Run length code for a gray-scale image is represented by a sequence {Vi, Ri} where Vi is the intensity of pixel and Ri refers to the number of consecutive pixels with the intensity Vi. This is most useful on data that contains many such runs for example, simple graphic images such as icons, line drawings, and animations. It is not useful with files that don't have many runs as it could greatly increase the file size. Run-length encoding performs lossless image compression (Chen & Chuang, 2010). Run length encoding is used in fax machines.



#### **6.2** Huffman Encoding

In computer science and information theory, Huffman coding is an entropy encoding algorithm used for lossless data compression. It was developed by Huffman. Huffman coding today is often used as a "back-end" to some other

compression methods (Mridul, Mathur, Loonker, & Saxena, 2012). The term refers to the use of a variable-length code table for encoding a source symbol where the variable-length code table has been derived in a particular way based on the estimated probability of occurrence for each possible value of the source symbol. The pixels in the image are treated as symbols. The symbols which occur more frequently are assigned a smaller number of bits, while the symbols that occur less frequently are assigned a relatively larger number of bits. Huffman code is a prefix code. This means that the (binary) code of any symbol is not the prefix of the code of any other symbol.

#### 6.3 Arithmetic Coding

Arithmetic coding is a form of entropy encoding used in lossless data compression. Normally, a string of characters such as the words "hello there" is represented using a fixed number of bits per character, as in the ASCII code. When a string is converted to arithmetic encoding, frequently used characters will be stored with little {65,3} {70,4} {72,3} bits and not-so-frequently occurring characters will be stored with more bits, resulting in fewer bits used in total. Arithmetic coding differs from other forms of entropy encoding such as Huffman coding in that rather than separating the input into component symbols and replacing each with a code, arithmetic coding encodes the entire message into a single number (Jagadish & Lohit, 2012).

#### 6.4 LZW Coding

LZW is the LempelZivWelch algorithm created in 1 984 by **Terry Welch**. It is the most commonly used derivative of the LZ78 family, despite being heavily patent encumbered. LZW improves on LZ78 in a similar way to LZSS; it removes redundant characters in the output and makes the output entirely out of pointers. It also includes every character in the dictionary before starting compression, and employs other tricks to improve compression such as encoding the last character of every new phrase as the first character of the next phrase. LZW is commonly found in the Graphics Interchange Format, as well as in the early specifications of the ZIP

format and other specialized applications. LZW is very fast, but achieves poor compression compared to most newer algorithms and some algorithms are both faster and achieve better compression (Bell, Witten, & Cleary, 1989).

#### 7. Lossy Compression Methods

Lossy compression techniques involve some loss of information, and data cannot be recovered or reconstructed exactly. In some applications, exact reconstruction is not necessary. For example, it is acceptable that a reconstructed video signal is different from the original as long as the differences do not result in annoying artifacts. However, we can generally obtain higher compression ratios than is possible with lossless compression. Lossy image compression techniques include following schemes:

#### 7.1 Vector Quantization

Vector Quantization (VQ) is a lossy compression method. It uses a codebook containing pixel patterns with corresponding indexes on each of them. The main idea of VQ is to represent arrays of pixels by an index in the codebook. In this way, compression is achieved because the size of the index is usually a small fraction of that of the block of pixels.

The main advantages of VQ are the simplicity of its idea and the possible efficient implementation of the decoder. Moreover, VQ is theoretically an efficient method for image compression, and superior performance will be gained for large vectors. However, in order to use large vectors, VQ becomes complex and requires many computational resources (e.g. memory, computations per pixel) in order to efficiently construct and search a codebook. More research on reducing this complexity has to be done in order to make VQ a practical image compression method with superior quality (Sayood, 2000).

#### 7.2 Predictive Coding

Predictive coding has been used extensively in image compression. Predictive image coding algorithms are used primarily to exploit the correlation between adjacent pixels. They predict the value of a given pixel based on the values of the surrounding pixels. Due to the correlation property among adjacent pixels in image, the use of a predictor can reduce the amount of information bits to represent image.

This type of lossy image compression technique is not as competitive as transform coding techniques used in modern lossy image compression, because predictive techniques have inferior compression ratios and worse reconstructed image quality than those of transform coding (Sayood, 2000).

#### 7.3 Fractal Compression

The application of fractals in image compression started with M.F. Barnsley and A. Jacquin (Barnsley & et.al, 1988). Fractal image compression is a process to find a small set of mathematical equations that can describe the image. By sending the parameters of these equations to the decoder, we can reconstruct the original image.

#### 7.4 Transform Based Image Compression

The basic encoding method for transform based compression works as follows:

- 1. Image transform: Divide the source image into blocks and apply the transformations to the blocks.
- 2. Parameter quantization: The data generated by the transformation are quantized to reduce the amount of information. This step represents the information within the new domain by reducing the amount of data. Quantization is in most cases not a reversible operation
- 3. Encoding: Encode the results of the quantization. This last step can be error free by using Run Length encoding or Huffman coding. It can

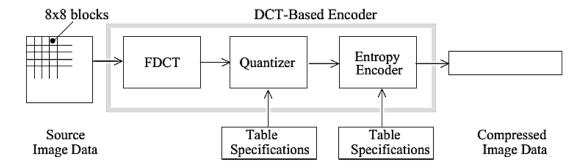
also be lossy if it optimizes the representation of the information to further reduce the bitrate.

Transform based compression is one of the most useful applications. Combined with other compression techniques, this technique allows the efficient transmission, storage, and display of images that otherwise would be impractical (Gu.H, 2000).

#### 7.5 JPEG-DCT

JPEG-DCT is a transform coding method comprising four steps. The source image is first partitioned into sub-blocks of size 8x8 pixels in dimension. Then each block is transformed from spatial domain to frequency domain using a 2-D DCT basis function. The resulting frequency coefficients are quantized and finally output to a lossless entropy coder (Wallace & et.al, 1991).

Lossy JPEG encoding process is shown in Figure 2.



**Figure 2: DCT-Based Encoder Processing Steps** 

Lossy JPEG decoder process is shown in Figure 3.

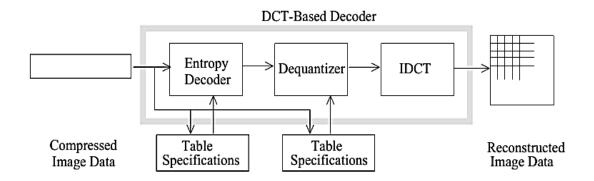


Figure 3: DCT-Based Decoder Processing Steps

#### **CONCLUSION**

In this chapter we present the general scheme of image compression and different types of image compression techniques. We can summary the chapter as follow:

Image compression is an application of data compression that attempt to reduce the redundancy of the image to be stored or transmit in an efficient form. Basically image compression techniques classified into two classes: Lossy compression techniques and lossless compression techniques. As the name indicates Lossless compression reduces the number of bits required to represent an image such that the reconstructed image is numerically identical to the original one on a pixel-by-pixel basis. On the other hand, lossy compression schemes allow degradations in the reconstructed image in exchange for a reduced bit rate. These degradations may or may not be visually apparent, and greater compression can be achieved by allowing more degradation.

#### **CHAPTER 2**

#### INNOVATIVE WORKS: IMAGE COMPRESSION

#### INTRODUCTION

Uncompressed image requires more space for storage and over bandwidth to transmit. For this reason, image compression addresses the problem of reducing the amount of information required to represent a digital image. It is a process intended to yield a compact representation of an image, thereby reducing the image storage transmission requirements.

To achieve these requirements, several image compression techniques have been proposed, some of these proposals have a greater success such as JPEG.

In this chapter, we will introduce the fundamental theory of two well-known image compression standards –JPEG and JPEG2000.

#### 1. JPEG – Joint Picture Expert Group

For the past few years, a joint ISO/IEC 10918 committee known as JPEG (Joint Photographic Experts Group) has been working to establish the first international compression standard for continuous-tone still images, both grayscale and color. JPEG's proposed standard aims to be generic and to support a wide variety of applications for continuous-tone images. To meet the differing needs of many applications, the JPEG standard includes two basic compression methods, each with various modes of operation. A DCT-based method is specified for "lossy" compression, and a predictive method for "lossless" compression. JPEG features a simple lossy technique known as the Baseline method, a subset of the other DCT-based modes of operation. The Baseline method has been by far the

most widely implemented JPEG method to date, and is sufficient in its own right for a large number of applications (Wallace & et.al, 1991).

Figure 4 and **Error! Reference source not found.** shows the Encoder and Decoder model of JPEG. We will introduce the operation and fundamental theory of each block in the following sections.

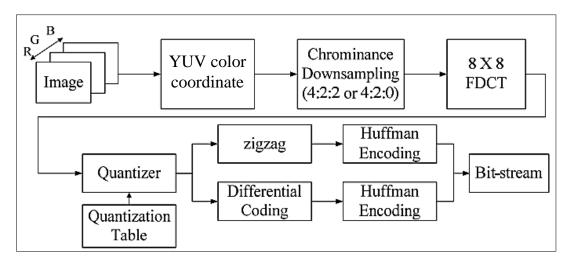


Figure 4: The Encoder model of JPEG compression standard

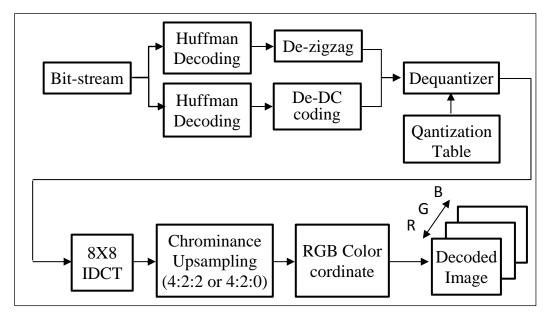


Figure 5: The Decoder model of JPEG compression standard

#### 1.1 Discrete Cosine Transform

The next step after color coordinate conversion is to divide the three color components of the image into many 8×8 blocks. The mathematical definition of the Forward DCT and the Inverse DCT are as follows:

#### Forward DCT:

$$F(u,v) = \frac{2}{N}C(u)C(v)\sum_{x=0}^{N-1}\sum_{y=0}^{N-1}f(x,y)\cos\left[\frac{\pi(2x+1)u}{2N}\right]\cos\left[\frac{\pi(2y+1)v}{2N}\right]$$
 for  $u = 0,..., N-1$  and  $v = 0,..., N-1$  where  $N = 8$  and  $C(k) = \begin{cases} 1/\sqrt{2} \text{ for } k = 0\\ 1 \text{ otherwise} \end{cases}$ 

**Inverse DCT:** 

$$f(x,y) = \frac{2}{N} \sum_{u=0}^{N-1} \sum_{v=0}^{N-1} C(u)C(v)F(u,v) \cos\left[\frac{\pi(2x+1)u}{2N}\right] \cos\left[\frac{\pi(2y+1)v}{2N}\right]$$
  
for  $x = 0,..., N-1$  and  $y = 0,..., N-1$  where  $N = 8$ 

The f (x, y) is the value of each pixel in the selected  $8\times8$  block, and the F(u,v) is the DCT coefficient after transformation. The transformation of the  $8\times8$  block is also an  $8\times8$  block composed of F (u, v). The DCT is closely related to the DFT. Both of them taking a set of points from the spatial domain and transform them into an equivalent representation in the frequency domain. However, why DCT is more appropriate for image compression than DFT? The two main reasons are:

1. The DCT can concentrate the energy of the transformed signal in low frequency, whereas the DFT cannot. According to Parseval's theorem, the energy is the same in the spatial domain and in the frequency domain. Because the human eyes are less sensitive to the low frequency component, we can focus on the low frequency

component and reduce the contribution of the high frequency component after taking DCT.

2. For image compression, the DCT can reduce the blocking effect than the DFT.

After transformation, the element in the upper most left corresponding to zero frequency in both directions is the "DC coefficient" and the rest are called "AC coefficients."

#### 1.2 Quantization in JPEG

Quantization is the step where we actually throw away data. The DCT is a lossless procedure. The data can be precisely recovered through the IDCT (this isn't entirely true because in reality no physical implementation can compute with perfect accuracy). During Quantization every coefficients in the 8×8 DCT matrix is divided by a corresponding quantization value. The quantized coefficient is defined in (1), and the reverse the process can be achieved by the (2).

$$F(u,v)_{Quantization} = round\left(\frac{F(u,v)}{Q(u,v)}\right)$$
(1)

$$F(u,v)_{deQ} = F(u,v)_{Quantization} \times Q(u,v)$$
 (2)

Where Q is a quantization matrix that have the same dimension of F matrix.

The goal of quantization is to reduce most of the less important high frequency DCT coefficients to zero, the more zeros we generate the better the image will compress. The matrix Q generally has lower numbers in the upper left direction and large numbers in the lower right direction. Though the high-frequency components are removed, the IDCT still can obtain an approximate matrix which is close to the original 8×8 block matrix. The JPEG committee has recommended certain Q matrix that work well and the performance is close to the optimal condition, the Q matrix for luminance and chrominance components is defined in (3) and (4).

$$Qr = \begin{pmatrix} 16 & 11 & 10 & 16 & 24 & 40 & 51 & 61 \\ 12 & 12 & 14 & 19 & 26 & 58 & 60 & 55 \\ 14 & 13 & 16 & 24 & 40 & 57 & 69 & 56 \\ 14 & 17 & 22 & 29 & 51 & 87 & 80 & 62 \\ 18 & 22 & 37 & 56 & 68 & 109 & 103 & 77 \\ 24 & 35 & 55 & 64 & 81 & 104 & 113 & 92 \\ 49 & 64 & 78 & 87 & 103 & 121 & 120 & 101 \\ 72 & 92 & 95 & 98 & 112 & 100 & 103 & 99 \end{pmatrix}$$

$$(3)$$

$$Qc = \begin{pmatrix} 17 & 18 & 24 & 47 & 99 & 99 & 99 & 99 \\ 18 & 21 & 26 & 66 & 99 & 99 & 99 & 99 \\ 24 & 26 & 56 & 99 & 99 & 99 & 99 & 99 \\ 47 & 66 & 99 & 99 & 99 & 99 & 99 & 99 \\ 99 & 99 & 99 & 99 & 99 & 99 & 99 & 99 \\ 99 & 99 & 99 & 99 & 99 & 99 & 99 & 99 \\ 99 & 99 & 99 & 99 & 99 & 99 & 99 & 99 & 99 \\ 99 & 99 & 99 & 99 & 99 & 99 & 99 & 99 & 99 \end{pmatrix}$$

$$(4)$$

#### 1.3 Zigzag Scan

After quantization, the DC coefficient is treated separately from the 63 AC coefficients. The DC coefficient is a measure of the average value of the original 64 image samples. Because there is usually strong correlation between the DC coefficients of adjacent 8×8 blocks, the quantized DC coefficient is encoded as the difference from the DC term of the previous block. This special treatment is worthwhile, as DC coefficients frequently contain a significant fraction of the total image energy. The other 63 entries are the AC components. They are treated separately from the DC coefficients in the entropy coding process.

0	1	5	6	14	15	27	28
2	4	7	13	16	26	29	42
3	8	12	17	25	30	41	43
9	11	18	24	31	40	44	53
10	19	23	32	39	45	52	54
20	22	33	38	46	51	55	60
21	34	37	47	50	56	59	61
35	36	48	49	57	58	62	63

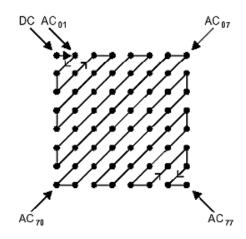


Figure 6: The zigzag scan order

#### 1.4 Entropy Coding in JPEG

#### **Differential Coding:**

The mathematical representation of the differential coding is:

$$Diff_i = DC_i = DC_{i-1} \tag{5}$$

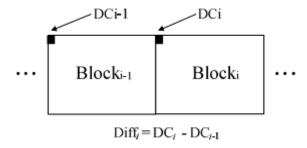


Figure 7: Differential coding

We set  $DC_0 = 0$ . DC of the current block  $DC_i$  will be equal to  $DC_{i-1} + Diff_i$ . Therefore, in the JPEG file, the first coefficient is actually the difference of DCs. Then the difference is encoded with Huffman coding algorithm together with the encoding of AC coefficients.

#### **Zero-Run-Length Coding:**

After quantization and zigzag scanning, we obtain the one-dimensional vectors with a lot of consecutive zeroes. We can make use of this property and

apply zero-run-length coding, which is variable length coding. Let us consider the 63 AC coefficients in the original 64 quantized vectors first. For instance, we have:

We encode then encode the vector (6) into vector (7).

$$(0,57)$$
;  $(0,45)$ ;  $(4,23)$ ;  $(1,-30)$ ;  $(0,-16)$ ;  $(2,1)$ ;  $EOB$  (7)

The notation (L, F) means that there are L zeros in front of F, and EOB (End of Block) is a special coded value means that the rest elements are all zeros. If the last element of the vector is not zero, then the EOB marker will not be added. On the other hand, EOC is equivalent to (0, 0), so we can express (7) as (8).

$$(0,57)$$
;  $(0,45)$ ;  $(4,23)$ ;  $(1,-30)$ ;  $(0,-16)$ ;  $(2,1)$ ;  $(0,0)$  (8)

Now back to the example (2.8). The right value of the bracket is called category, and the left value of the bracket is called run. We can encode the category by looking up Table 2 which is specified in the JPEG standard. For example, 57 is in the category 6 and the bits for the value is 111001, so we encode it as 6,111001. The full encoded sequence of (6) is as (9).

$$(0,6,111001);(0,6,101101);(4,5,10111);(1,5,00001);(0,4,0111);(2,1,1);(0,0)$$
 (9)

Table 2: Table of the category and bit-coded values

Category	Values	Bits for the value
1	-1,1	0,1
2	-3,-2,2,3	00,01,10,11
3	-7,-6,-5,-4,4,5,6,7	000,001,010,011,100,101,110,111
4	-15,,-8,8,,15	0000,,0111,1000,,1111
5	-31,,-16,16,31	00000,,01111,10000,,11111
6	-63,,-32,32,63	000000,,011111,100000,,111111
7	-127,,-64,64,,127	0000000,,0111111,1000000,,1111111
8	-255,,-128,128,,255	
9	-511,,-256,256,,511	
10	-1023,,-512,512,,1023	
11	-2047,,-1024,1024,,2047	

The first two values in bracket parenthesis can be represented on a byte because of the fact that each of the two values is 0, 1, 2...15. In this byte, the higher 4-bit (run) represents the number of previous zeros, and the lower 4-bit (category) represents the value which is not 0.

The JPEG standard specifies the Huffman table for encoding the AC coefficients which is listed in table 2. The final step is to encode (9) by using the Huffman table defined in table 2.

The final step is to encode (9) by using the Huffman table. Huffman tables may be predefined and used within an application as defaults, or computed specifically for a given image in an initial statistics-gathering pass prior to compression. Such choices are the business of the applications which use JPEG; the JPEG proposal specifies no required Huffman tables.

#### 2. JPEG2000

JPEG2000 standards are developed for a new image coding standard for different type of still images and with different image characteristics. The coding system is intended for low bit-rate applications and exhibit rate distortion and subjective image quality performance superior to existing standards (Christopoulos, Skodras, & Ebrahimi, 2000).

The JPEG2000 compression engine (encoder and decoder) is illustrated in block diagram form in Figure 8. The encoder, the discrete transform is first applied on the source image data. The transform coefficients are then quantized and entropy coded before forming the output code stream (bit stream). The decoder is the reverse of the encoder. The code stream is first entropy decoded, de-quantized, and inverse discrete transformed, thus resulting in the reconstructed image data. Although this general block diagram looks like the one for the conventional JPEG, there are radical differences in all of the processes of each block of the diagram.

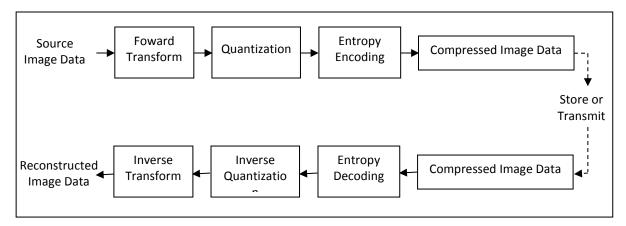


Figure 8: The JPEG2000 encoding and decoding process

At the core of the JPEG2000 structure is a new wavelet based compression methodology that provides for a number of benefits over the Discrete Cosine Transformation (DCT) compression method, which was used in the JPEG lossy format. The DCT compresses an image into 8 × 8 blocks and places them consecutively in the file. In this compression process, the blocks are compressed individually, without reference to the adjoining blocks (Santa-Cruz, Ebrahimi, Ebrahimi, Askelof, Larsson, & Christopoulos, 2000). This results in "blockiness" associated with compressed JPEG files. With high levels of compression, only the most important information is used to convey the essentials of the image. However, much of the subtlety that makes for a pleasing, continuous image is lost.

In contrast, wavelet compression converts the image into a series of wavelets that can be stored more efficiently than pixel blocks. Although wavelets also have rough edges, they are able to render pictures better by eliminating the "blockiness" that is a common feature of DCT compression. Not only does this make for smoother color toning and clearer edges where there are sharp changes of color, it also gives smaller file sizes than a JPEG image with the same level of compression.

This wavelet compression is accomplished through the use of the JPEG2000 encoder (Christopoulos, Skodras, & Ebrahimi., 2000), which is pictured in Figure 8. This is similar to every other transform based coding scheme. The transform is first applied on the source image data. The transform coefficients are then quantized and

entropy coded, before forming the output. The decoder is just the reverse of the encoder. Unlike other coding schemes, JPEG2000 can be both lossy and lossless. This depends on the wavelet transform and the quantization applied.

The JPEG2000 standard works on image tiles. The source image is partitioned into rectangular non-overlapping blocks in a process called tiling. These tiles are compressed independently as though they were entirely independent images. All operations, including component mixing, wavelet transform, quantization, and entropy coding, are performed independently on each different tile. The nominal tile dimensions are powers of two, except for those on the boundaries of the image. Tiling is done to reduce memory requirements, and since each tile is reconstructed independently, they can be used to decode specific parts of the image, rather than the whole image. Each tile can be thought of as an array of integers in sign-magnitude representation. This array is then described in a number of bit planes. These bit planes are a sequence of binary arrays with one bit from each coefficient of the integer array. The first bit plane contains the most significant bit (MSB) of all the magnitudes. The second array contains the next MSB of all the magnitudes, continuing in the fashion until the final array, which consists of the least significant bits of all the magnitudes.

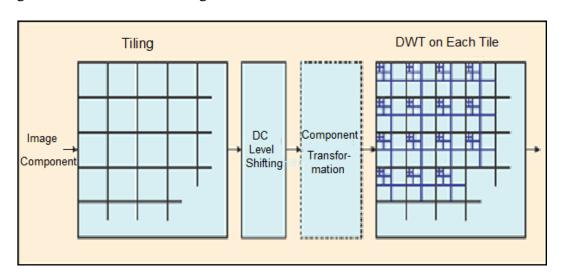


Figure 9: Tiling, DC-level shifting, color transformation (optional) and DWT for each image component.

Before the forward discrete wavelet transform, or DWT, is applied to each tile, all image tiles are DC level shifted by subtracting the same quantity, such as the component depth, from each sample1. DC level shifting involves moving the image tile to a desired bit plane, and is also used for region of interest coding, which is explained later. This process is pictured in Figure 9.

Each tile component is then decomposed using the DWT into a series of decomposition levels which each contain a number of subbands. These subbands contain coefficients that describe the horizontal and vertical characteristics of the original tile component. All of the wavelet transforms employing the JPEG2000 compression method are fundamentally one-dimensional in nature (Adams & Ward., 2001). Applying one-dimensional transforms in the horizontal and vertical directions forms two-dimensional transforms. This results in four smaller image blocks; one with low resolution, one with high vertical resolution and low horizontal resolution, one with low vertical resolution and high horizontal resolution, and one with all high resolution. This process of applying the one-dimensional filters in both directions is then repeated a number of times on the low-resolution image block. This procedure is called dyadic decomposition and is pictured in Figure 10. An example of dyadic decomposition1 into subbands with the whole image treated as one tile is shown in Figure 11.

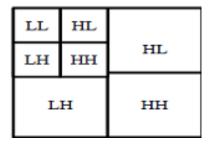


Figure 10: Dyadic decomposition.



Figure 11: Example of Dyadic decomposition.

To perform the forward DWT, a one-dimensional subband is decomposed into a set of low-pass samples and a set of high-pass samples. Low-pass samples represent a smaller low-resolution version of the original. The high-pass samples represent a smaller residual version of the original; this is needed for a perfect reconstruction of the original set from the low-pass set.

As mentioned earlier, both reversible integer-to-integer and nonreversible real-to real wavelet transforms can be used. Since lossless compression requires that no data be lost due to rounding, a reversible wavelet transform that uses only rational filter coefficients is used for this type of compression. In contrast, lossy compression allows for some data to be lost in the compression process, and therefore nonreversible wavelet transforms with non-rational filter coefficients can be used. In order to handle filtering at signal boundaries, symmetric extension is used. Symmetric extension adds a mirror image of the signal to the outside of the boundaries so that large errors are not introduced at the boundaries. The default irreversible transform is implemented by means of the bi-orthogonal Daubechies 9-tap/7-tap filter. The Daubechies wavelet family is one of the most important and widely used wavelet families. The analysis filter coefficients for the Daubechies 9-tap/7-tap filter (Christopoulos, Skodras, & Ebrahimi., 2000), which are used for the dyadic decomposition.

After transformation, all coefficients are quantized. This is the process by which the coefficients are reduced in precision. Dividing the magnitude of each coefficient by a quantization step size and rounding down accomplishes this. These step sizes can be chosen in a way to achieve a given level of quality. This operation

is lossy, unless the coefficients are integers as produced by the reversible integer 5/3 wavelet, in which case the quantization step size is essentially set to 1.0. In this case, no quantization is done and all of the coefficients remain unchanged.

Following quantization, each subband is subjected to a packet partition (Marcellin, Gormish, Bilgin, & Boliek., March 2000). Each packet contains a successively improved resolution level on one tile. This way, the image is divided into first a low quality approximation of the original, and sequentially improves until it reaches its maximum quality level. Finally, code-blocks are obtained by dividing each packet partition location into regular non-overlapping rectangles. These code-blocks are the fundamental entities used for the purpose of entropy coding.

Entropy coding is performed independently on each code-block. This coding is carried out as context-dependent binary arithmetic coding of bit planes. This arithmetic coding is done through a process of scanning each bit plane in a series of three coding passes. The decision as to which pass a given bit is coded in is made based on the significance of that bit's location and the significance of the neighboring locations. A location is considered significant if a 1 has been coded for that location in the current or previous bit plane (Marcellin, Gormish, Bilgin, & Boliek., March 2000).

The first pass in a new bit plane is called the significance propagation pass. A bit is coded in this pass if its location is not significant, but at least one of its eight connected neighbors is significant. The second pass is the magnitude refinement pass. In this pass, all bits from locations that became significant in a previous bit plane are coded. The third and final pass is the clean-up pass, which takes care of any bits not coded in the first two passes. One significant feature of JPEG2000 is the possibility of defining regions of interest in an image. These regions of interest are coded with better quality than the rest of the image. This is done by scaling up, or DC shifting, the coefficients so that the bits associated with the regions of interest are placed in higher bit-planes. During the embedded coding process, these bits are then placed in the bit-stream before the part of the image that is not of

interest. This way, the region of interest will be decoded before the rest of the image. Regardless of the scaling, a full decoding of the bit-stream results in a reconstruction of the whole image with the highest possible resolution. However, if the bit-stream is truncated, or the encoding process is terminated before the whole image is fully encoded; the region of interest will have a higher fidelity than the rest of the image.

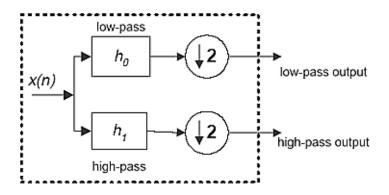


Figure 12: Example of Dyadic decomposition.

#### **CONCLUSION**

The DCT-based image compression such as JPEG performs very well at moderate bit rates; however, at higher compression ratio, the quality of the image degrades because of the artifacts resulting from the block-based DCT scheme.

Wavelet-based coding such as JPEG2000 on the other hand, provides substantial improvement in picture quality at low bit rates because of overlapping basis functions and better energy compaction property of wavelet transforms. Because of the inherent multi-resolution nature, wavelet-based coders facilitate progressive transmission of images thereby allowing variable bit rates.

## **CHAPTER 3**

# A MATHEMATICAL BACKGROUND: DCT, DST AND TRIGONOMETRIC FUNCTIONS

#### INTRODUCTION

Applied mathematics is the branch of mathematics consists of the application of mathematical knowledge to another fields, like the use of mathematics in business, medical, industry, etc. as the title indicates DCTs, DSTs and trigonometric functions are some mathematical functions as sine, arcsine, etc. whose have some properties which can be consider useful in image compression. In this chapter we present a mathematical background of some functions that belong in this kind: we give a general mathematical presentation of the functions and some of its properties. First we present different forms of DCT and DST (Discrete Sine Transform). Then, we present the three used trigonometric functions.

#### 1. Discrete Cosine and Sine Transforms

The discrete cosine transform (DCT) and discrete sine transform (DST) are (Britanak, 2001) members of a family of sinusoidal unitary transforms. They have found applications in digital signal and image processing and particularly in transform coding systems for data compression/decompression. Among the various versions of DCT, types II and III have received much attention in digital signal processing. Besides being real, orthogonal, and separable, its properties are relevant to data compression and fast algorithms for its computation have proved to be of practical value. Recently, DCT has been employed as the main processing tool for data compression/decompression in international image and video coding standards (Rao & Hwang, Techniques and Standards for Image, Video and Audio Coding, 1996). An alternative transform used in transform coding systems is DST. In fact, the alternate use of modified forms of DST and DCT has been adopted in the international audio coding standards MPEG-1 and MPEG-2 (Moving Picture Experts Group).

### 1.1 The Family of DCTs and DSTs

DCTs and DSTs are members of the class of sinusoidal unitary transforms developed by Jain (Jain, 1979). A sinusoidal unitary transform is an invertible linear transform whose kernel describes a set of complete, orthogonal discrete cosine and/or sine basis functions. The well-known Karhunen–Loève transform (KLT), generalized discrete Fourier transform (Bongiovanni, Corsini, & Frosini, 1976), generalized discrete Hartley transform (Rao & Hwang, 1996) or equivalently generalized discrete W transform (Wang & Hunt, 1985), and various types of the DCT and DST are members of this class of unitary transforms.

The set of DCTs and DSTs introduced by Jain (Jain, 1979) is not complete. The complete set of DCTs and DSTs, so-called discrete trigonometric transforms, has been described by Wang and Hunt (Wang & Hunt, 1985). The family of discrete trigonometric transforms consists of 8 versions of DCT and corresponding 8 versions of DST (Martucci, 1992). Each transform is identified as even or odd and

of type I, II, III, and IV. All present digital signal and image processing applications (mainly transform coding and digital filtering of signals) involve only even types of the DCT and DST. Therefore, this chapter considers four even types of DCT and DST.

#### 1.2 Definitions of DCTs and DSTs

In subsequent sections, N is assumed to be an integer power of 2, i.e.  $N = 2^{m}$ . A subscript of a matrix denotes its order, while a superscript denotes the version number.

Four normalized even types of DCT in the matrix form are defined as DCT

$$DCT - I: \left[C_{N+1}^{I}\right]_{nk} = \sqrt{\frac{2}{N}} \left[\varphi_n \varphi_k \cos \frac{\pi n k}{N}\right], \qquad n, k = 0, 1, \dots, N$$

$$DCT - II: [C_N^{II}]_{nk} = \sqrt{\frac{2}{N}} \left[ \varphi_k \cos \frac{\pi (2n+1)k}{2N} \right], \qquad n, k = 0, 1, ..., N-1$$

$$DCT-III: \ [C_N^{III}]_{nk} = \sqrt{\frac{2}{N}} \left[ \varphi_n cos \frac{\pi n (2k+1)}{2N} \right], \qquad n,k=0,1,\ldots,N-1$$

$$DCT - IV: \ [C_N^{IV}]_{nk} = \sqrt{\frac{2}{N}} \left[ cos \frac{\pi (2n+1)(2k+1)}{4N} \right], \qquad n, k = 0, 1, ..., N-1$$

Where

$$\varphi_p = \begin{cases} \frac{1}{\sqrt{2}} & p = 0 \text{ or } p = N \\ 1 & \text{otherwise} \end{cases}$$

And the corresponding four normalized even types of the DST are defined as

$$DST - I: [S_{N-1}^I]_{nk} = \sqrt{\frac{2}{N}} \left[ sin \frac{\pi(n+1)(k+1)}{N} \right], \qquad n, k = 0, 1, \dots, N-2$$

$$DST - II: \ [S_N^{II}]_{nk} = \sqrt{\frac{2}{N}} \left[ \varphi_k sin \frac{\pi (2n+1)(k+1)}{2N} \right], \qquad n, k = 0, 1, \dots, N-1$$

$$DST - III: \ [S_N^{III}]_{nk} = \sqrt{\frac{2}{N}} \left[ \varphi_n sin \frac{\pi (n+1)(2k+1)}{2N} \right], \qquad n, k = 0, 1, \dots, N-1$$

$$DST - IV: \ [S_N^{IV}]_{nk} = \sqrt{\frac{2}{N}} \left[ sin \frac{\pi (2n+1)(2k+1)}{4N} \right], \qquad n, k = 0, 1, \dots, N-1$$

Where:

$$\varphi_p = \begin{cases} \frac{1}{\sqrt{2}} & p = 0 \text{ or } p = N \\ 1 & \text{otherwise} \end{cases}$$

The DCT-I introduced by Wang and Hunt (Wang & Hunt, The discrete cosine transform - a new version, 1983) is defined for the order N + 1. It can be considered a special case of symmetric cosine transform introduced by Kitajima (Kitajima, 1980). The DST-I introduced by Jain (Jain, A fast Karhunen-Loève transform for a class of random processes, 1976) is defined for the order N-1 and constitutes the basis of a technique called recursive block coding (Jain, 1989). The DCT-II and its inverse, DCT-III, first reported by Ahmed, Natarajan, and Rao (Ahmed, Natarajan, & Rao, 1974), has an excellent energy compaction property, and among the currently known unitary transforms it is the best approximation for the optimal KLT. The DST-II and its inverse, DST-III, have been introduced by Kekre and Solanki (Kekre & Solanki, 1978). DST-II is a complementary or alternative transform to DCT-II used in transform coding. DCT-IV and DST-IV introduced by Jain (Jain, A sinusoidal family of unitary transforms Trans. on Pattern Analysis and Machine Intelligence, 1979) have found applications in the fast implementation of lapped orthogonal transform for the efficient transform/subband coding (Malvar, 1990).

#### 1.3 Properties

The basic mathematical properties of discrete transforms are fundamental for their use in practical applications. Thus, properties such as scaling, shifting, and convolution are readily applied in the discrete transform domain. In the following, the most relevant mathematical properties of the family of DCTs and DSTs are briefly summarized.

DCT and DST matrices are real and orthogonal. All DCTs and DSTs are separable transforms; the multidimensional transform can be decomposed into successive application of one-dimensional (1-D) transforms in the appropriate directions.

The following relations hold for inverse DCT matrices

$$[C_{N+1}^{I}]^{-1} = [C_{N+1}^{I}]^{T} = [C_{N+1}^{I}]$$

$$[C_{N}^{II}]^{-1} = [C_{N}^{II}]^{T} = [C_{N}^{III}]$$

$$[C_{N}^{III}]^{-1} = [C_{N}^{III}]^{T} = [C_{N}^{II}]$$

$$[C_{N}^{IV}]^{-1} = [C_{N}^{IV}]^{T} = [C_{N}^{IV}]$$

and for inverse DST matrices

$$[S_{N-1}^{I}]^{-1} = [S_{N-1}^{I}]^{T} = [S_{N-1}^{I}]$$
$$[S_{N}^{II}]^{-1} = [S_{N}^{II}]^{T} = [S_{N}^{III}]$$
$$[S_{N}^{III}]^{-1} = [S_{N}^{III}]^{T} = [S_{N}^{II}]$$
$$[S_{N}^{IV}]^{-1} = [S_{N}^{IV}]^{T} = [S_{N}^{IV}]$$

#### 1.4 DCT use in data compression

In general, there are several characteristics that are desirable in a transform when it is used for the purpose of data compression. DCT-III, have been employed in the international image/video coding standards: JPEG for compression of still images, MPEG for compression of motion video including HDTV (High Definition Television), H.261 for compression of video telephony and teleconferencing, and

H.263 for visual communication over ordinary telephone lines (Rao & Hwang, 1996).

## 2. Trigonometric functions

#### 2.1 Introduction

In mathematics, the trigonometric functions (also called the circular functions) are functions of an angle. They relate the angles of a triangle to the lengths of its sides. Trigonometric functions are important in the study of triangles and modeling periodic phenomena, among many other applications. Trigonometric functions have a wide range of uses including computing unknown lengths and angles in triangles (often right triangles). Trigonometric functions are used, for instance, in navigation, engineering, and physics. A common use in elementary physics is resolving a vector into Cartesian coordinates. The sine and cosine functions are also commonly used to model periodic function phenomena such as sound and light waves, the position and velocity of harmonic oscillators, sunlight intensity and day length, and average temperature variations through the year.

In this section, we present the most central trigonometric functions such as sine, cosine, and tangent.

#### 2.2 Right-angled triangle definitions

A right triangle is a triangle with a right angle  $(90^{\circ})$  (See Figure 13) (Adamson & Nicholas, 1998).

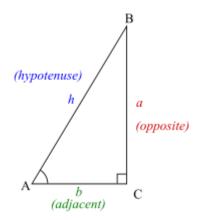


Figure 13: Right triangle.

To define the trigonometric functions for the angle A, start with any right triangle that contains the angle A. The three sides of the triangle are named as follows:

- The hypotenuse is the side opposite the right angle, in this case side *h*. The hypotenuse is always the longest side of a right-angled triangle.
- The opposite side is the side opposite to the angle we are interested in (angle A), in this case side *a*.
- The adjacent side is the side having both the angles of interest (angle A and right-angle C), in this case side b.

#### 2.3 Definition of sine, cosine and tangent

<u>Sine:</u> The sine of an angle is the ratio of the length of the opposite side to the length of the hypotenuse. (The word comes from the Latin sinus for gulf or bay since, given a unit circle, it is the side of the triangle on which the angle opens.) (Adamson & Nicholas, 1998) In our case

$$\sin A = \frac{\text{opposite}}{\text{hypotenuse}} = \frac{a}{h}.$$

<u>Cosine:</u> The cosine of an angle is the ratio of the length of the adjacent side to the length of the hypotenuse: so called because it is the sine of the complementary or co-angle (Adamson & Nicholas, 1998). In our case

$$\cos A = \frac{\text{adjacent}}{\text{hypotenuse}} = \frac{b}{h}.$$

<u>Tangent:</u> The tangent of an angle is the ratio of the length of the opposite side to the length of the adjacent side: so called because it can be represented as a line segment tangent to the circle, that is the line that touches the circle, from Latin lineatangens or touching line (cf. tangere, to touch) (Adamson & Nicholas, 1998). In our case

$$\tan A = \frac{\text{opposite}}{\text{adjacent}} = \frac{a}{b}.$$

### 2.4 Sine, cosine and tangent graphs

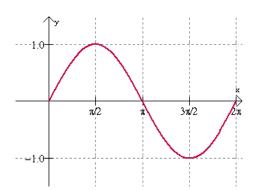


Figure 14: Sine function graph

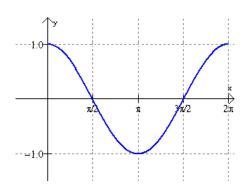


Figure 15: Cosine function graph

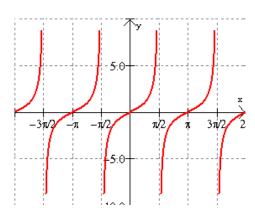


Figure 16: Tangent function graph

## 2.5 Definitions of Trigonometric Functions for a Unit Circle

In the unit circle, one can define the trigonometric functions cosine and sine as follows. If (x,y) is a point on the unit circle, and if the ray from the origin (0,0) to that point (x,y) makes an angle  $\theta$  with the positive x-axis, (such that the counterclockwise direction is considered positive), then:

$$\cos \theta = x/1 = x$$
 and  $\sin \theta = y/1 = y$ 

Then, each point (x,y) on the unit circle can be written as  $(\cos \theta, \sin \theta)$ . Combined with the equation  $x^2 + y^2 = 1$ , the definitions above give the relationship  $\sin^2 \theta + \cos^2 \theta = 1$ . In addition, other trigonometric functions can be defined in terms of x and y:  $\tan \theta = \sin \theta / \cos \theta = y/x$ 

Figure 17 below shows a unit circle in the coordinate plane, and Figure 18 shows a unit circle in the coordinate plane with some useful values of angle  $\theta$ , and the points  $(x, y) = (\cos \theta, \sin \theta)$ , that are most commonly used.

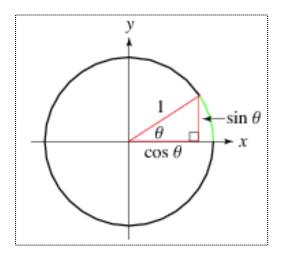


Figure 17: Unit circle definition of sine function

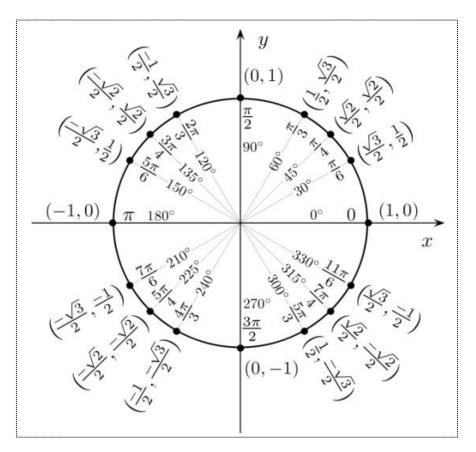


Figure 18: Most commonly used angles and points of the unit circle.

## 2.6 Some properties of sine, cosine and tangent functions

$$\sin(-\theta) = -\sin \theta$$

$$\cos(-\theta) = \cos \theta$$

$$tan(-\theta) = -tan \theta$$

$$\sin^2\theta + \cos^2\theta = 1$$

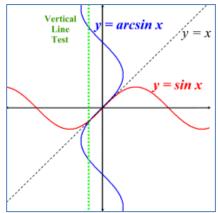
$$\sin 2\theta = 2 \sin \theta \cos \theta$$

$$\cos 2\theta = \cos^2 \theta - \sin^2 \theta = 1 - 2\sin^2 \theta = 2\cos^2 \theta - 1$$

$$\tan 2\theta = 2 \tan \theta / (1 - \tan^2 \theta)$$

$$sin A \pm B = sinAcosB \pm cosAsinB$$

$$\cos A \pm B = \cos A \cos B \mp \sin A \sin B$$



### 2.7 Inverse trigonometric functions

Look at the sine function (in red) at the right. If we reflect this function over the identity line, we will create the inverse graph (in blue). Unfortunately, this newly formed inverse graph is not a function. Notice how the green vertical line intersects the new inverse graph in more than one location, telling us it is not a function. (Vertical Line Test).

By limiting the range to see all of the y-values without repetition, we can define inverse functions of the trigonometric functions. It is possible to form inverse functions at many different locations along the graph. The functions shown here are what are referred to as the "principal" functions.

**Definition:** In mathematics, the inverse trigonometric functions (occasionally called cyclometric functions) (Dörrie, 1965) are the inverse functions of the trigonometric functions (with suitably restricted domains). Specifically, they are the inverses of the sine, cosine, tangent, cotangent, secant, and cosecant functions. They are used to obtain an angle from any of the angle's trigonometric

ratios. Inverse trigonometric functions are widely used in engineering, navigation, physics, and geometry.

## **Inverse sine:**

notation	domain	range
$f(x) = \sin^{-1}(x)$	[-1,1]	[_π π]
$f(x) = \arcsin(x)$		$\left[-\frac{2}{2},\frac{2}{2}\right]$

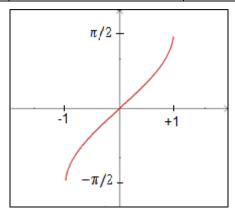


Figure 19: Arcsine function graph

## **Inverse cosine:**

notation	domain	range
$f(x) = \cos^{-1}(x)$ $f(x) = \arccos(x)$	[-1,1]	[0, л]

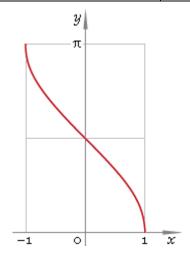


Figure 20: Arccosine function graph

## **Inverse tangent:**

notation	domain	range
$f(x) = \tan^{-1}(x)$ $f(x) = \arctan(x)$	$\bigl(-\varpi,\varpi\bigr)$	$\left(-\frac{\pi}{2},\frac{\pi}{2}\right)$

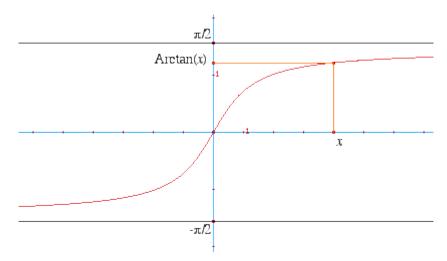


Figure 21: Arctangent function graph

## **CONCLUSION**

The DCT and sine functions have interesting properties that may cleverly be exploited for images compression. DCT condenses the image energy into a reduced set of coefficients. Sine function, on the other hand, reduces the space size in case we tackle a pretreatment of images.

## **CHAPTER 4**

# DCT BASED COMPRESSION: APPLICATION OF ARCSINE TRANSFORM

#### INTRODUCTION

In this chapter, we will present our proposed method: Enhancement of DCT-Based Image Compression using Trigonometric Functions. As the title indicates, we suggest in our proposition a combination between DCT and arcsine function; in aim, to get better results compared with which has been got by the traditional techniques such as JPEG.

At the level of storage on the hard disc, we use the bitmap format. In the lossless compression phase, as well developed software that belongs to PAQ Algorithms family, initially promoted by Matt Mahoney, is used to optimize the compression much better.

## 1. Proposed method with only DCT

#### 1.1 Compression:

The forward processing (compression phase) is shown in Figure 22, and follows the following order: first, we divide the image into several 16x16 blocs. Next, the DCT is applied and the values which are less than 13.5 are set into zero. After normalization for the storage (values between 0 and 255), we apply the zigzag scan, like JPEG algorithm, and transform the obtained vector into a 4x64 bloc. Then, we obtain an image different in size from the original image. After that, we

reorganize the lines of this image, and store it into bitmap format. Finally, we apply lossless compression (Mikulic, 2001).

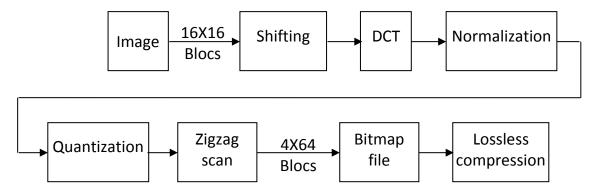


Figure 22: Compression phase with DCT only.

#### 1.2 Decompression

The inverse path shown in Figure 23 is scanned. So, we apply lossless decompression, and divide image into 4x64 blocs. Transform the bloc into a 256 sized vector, apply inverse zigzag to obtain 16x16 bloc. Then inversed DCT is applied. The image is reset (Mikulic, 2001).

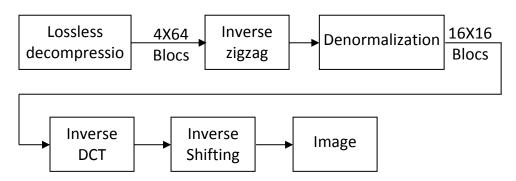


Figure 23: Decompression phase with inverse DCT only.

## 2. Proposed method with DCT and arcsine function

#### 2.1 Compression:

The forward processing (compression phase) is shown in Figure 25 and follows the following order: first, we divide the image into several 16x16 blocs and we normalize the bloc by dividing it by 200. Then, we apply the arcsine function and multiply by 185.4. Next, the DCT is applied and the values which are less than

13.5 are set into zero. After normalization for the storage (values between 0 and 255), we apply the zigzag scan, like JPEG algorithm, and transform the obtained vector into a 4x64 bloc. Then, we obtain an image different in size from the original image. After that, we reorganize the lines of this image, and store it into bitmap format. Finally, we apply lossless compression.

#### 2.2 Decompression

The inverse path shown in Figure 24 is scanned. So, we apply lossless decompression, and divide image into 4x64 blocs. Transform the bloc into a 256 sized vector, apply inverse zigzag to obtain 16x16 bloc. Then inversed DCT is applied and sine function with normalization is applied. The image is then restored.

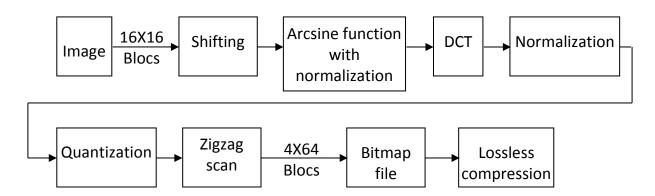


Figure 25: Compression phase with DCT and arcsine function.

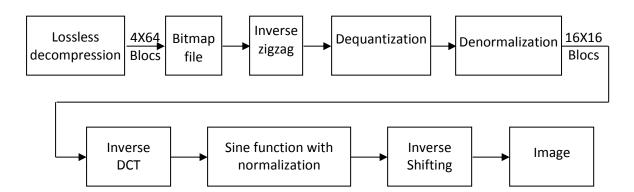


Figure 24: Decompression phase with inverse DCT ans sine functions.

## 3. Example of treatment of one bloc with DCT-Arcsine method.

In this example, we use a 4x4 bloc instead 16x16 bloc:

75	65	72	69
59	74	69	67
67	61	67	75
71	58	62	69

## 3.3 Compression

We shift: 160 – Bloc

85	95	88	91
101	86	91	93
93	99	93	85
89	102	98	91

We divide by 200

0.425	0.475	0.440	0.455
0.505	0.430	0.455	0.465
0.465	0.495	0.465	0.425
0.445	0.510	0.490	0.455

We apply arcsine function

0.439	0.495	0.456	0.472
0.529	0.444	0.472	0.484
0.484	0.518	0.484	0.439
0.461	0.535	0.512	0.472

We multiply by 185.4

81.38	91.77	84.47	87.58
98.15	82.41	87.58	89.67
89.67	96.01	89.67	81.38
85.5	99.22	94.94	87.58

We apply DCT

356.7	4.5	-6.29	-3.02
-7.06	-2.03	8.902	0.034
-0.52	-6.77	-7.89	-6.79
-3.34	1.443	-8.73	-5.78

We divide by 10 and add 92

127.7	92.45	91.37	91.7
91.29	91.8	92.89	92
91.95	91.32	91.21	91.32
91.67	92.14	91.13	91.42

We apply round function

128	92	91	92
91	92	93	92
92	91	91	91
92	92	91	91

We use the zigzag scan

128	92	91	92	92	91	92	93	91	92	92	91	92	91	91	91

We transform the obtained vector into 2x8 bloc

128	92	91	92	92	91	92	93
91	92	92	91	92	91	91	91

With many blocs, this matrix will be stored into a bitmap format and lossless compression is applied.

## 3.4 Decompression

We start with this 2x8 matrix

128	92	91	92	92	91	92	93
91	92	92	91	92	91	91	91

We transform it into a vector as

128	92	91	92	92	91	92	93	91	92	92	91	92	91	91	91
		-	_	_	-	_		-			-		-	-	

We use inverse zigzag

128	92	91	92
91	92	93	92
92	91	91	91
92	92	91	91

We subtract 92 and multiply by 10

360	0	-10	0
-10	0	10	0
0	-10	-10	-10
0	0	-10	-10

We apply inverse DCT

78.30	93.50	86.14	89.00
99.65	77.85	90.21	86.88
89.59	98.33	93.62	83.88
82.47	100.33	100.03	90.24

We divide by 185.4 and obtain

0.422	0.504	0.465	0.48
0.538	0.42	0.487	0.469
0.483	0.53	0.505	0.452
0.445	0.541	0.54	0.487

Then, apply sine function

0.410	0.483	0.448	0.462
0.512	0.408	0.468	0.452
0.465	0.506	0.484	0.437
0.430	0.515	0.514	0.468

And we multiply by 200

81.97	96.64	89.62	92.36
102.4	81.53	93.52	90.33
92.92	101.2	96.75	87.43
86.06	103	102.8	93.55

We apply round function

82	97	90	92
102	82	94	90
93	101	97	87
86	103	103	94

We shift: 160 – Bloc

78	63	70	68
58	78	66	70
67	59	63	73
74	57	57	66

This result image is different from the original with a signal / noise ratio of 39.34.

## 4. Lossless compression phase

In lossless compression phase, we have tested many algorithms and softwares, such as winrar, winzip, 7zip, nanozip, peazip, zopfli and several

softwares belong into paq family compressors. Good results are obtained with paq8pxd\_v4 which derives from the family PAQ software.

However, paq8pxd\_v4 is characterized by its long execution time. Whereas, Nanozip gives quite good results in compression ratio in short run time.

## 5. The application

## 5.1 Graphical User Interface

The GUI (Graphical User Interface), (see Figure 26, Figure 27, Figure 28, Figure 29), is very simple, and contains three different areas. The first one is for displaying the original image to compress. The second area is meant for displaying the compressed and decompressed image. The last area displays the obtained results (compression ratio and PSNR).

#### 5.2 The core

The core is composed of two modules

First, the image compression module also contains several sub-modules, among which, we distinguish the image preparation module, the block switching module and the application module DCT functions and arcsine.

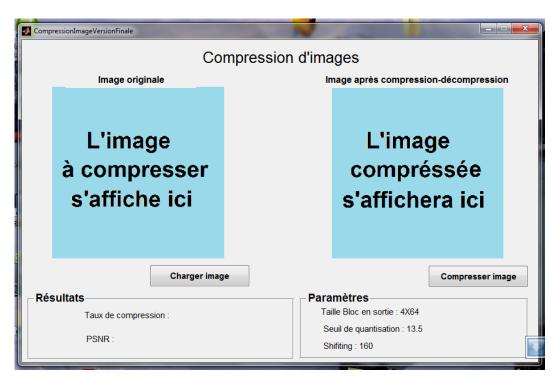


Figure 26: Application home page.

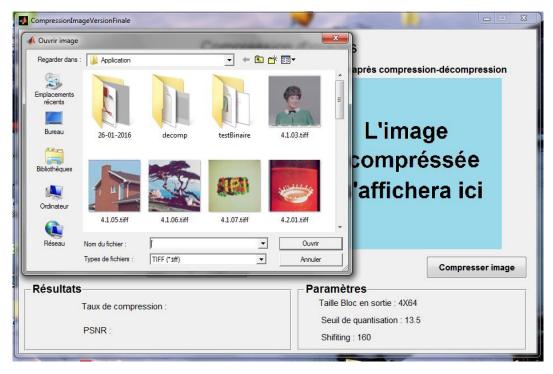


Figure 27: Browsing for image.

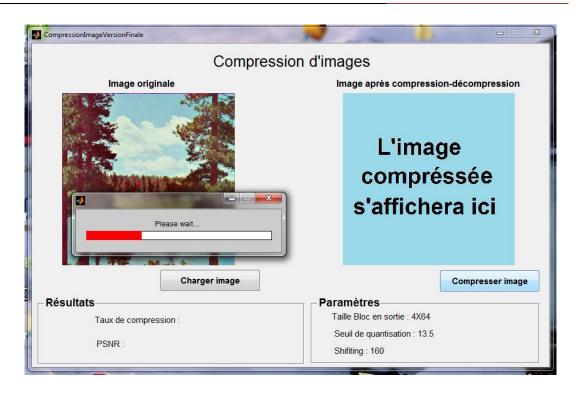


Figure 28: Compressing an image.



Figure 29: Compressed image, original image and results.

Second, the image decompression module performs the inverse of the compression module.

## 6. Results and interpretation

In the following, we will interpret the results with images Pepper-512X512, Lena-Color-512X512, Baboon-512X512 and other images.



Figure 30: Baboon Color 512X512



Figure 31: Sailboat on lake Color 512X512



Figure 32: Lena Color 512X512



Figure 33: Peppers Color 512X512



Figure 34: Splash Color 512X512



Figure 35: House Color 256X256

For 512\*512 Lena-Color image, the results obtained using the procedure above are closer to that of JPEG:

Lena-Color-512X512				
CR	PSNR			
9.72	35.00			

To improve them, we have introduced arcsine function with normalization before applying DCT. As a result, we obtained to following:

Lena-Color-512X512				
CR	PSNR			
9.95	35.00			

These results are always below those of JPEG. Before the lossless compression phase, we could successfully improve them by storing the processed data with DCT as a Bitmap format RGB image. In this way, we could go beyond JPEG outcomes and obtain the following results:

Lena-Color-512X512				
CR PSNR				
13.07	35.00			

Remarkably, by using DCT, the results obtained largely outperform those of JPEG. We have used in this step the winrar software.

However, if we use a 7-zip instead of winrar we get betters outcomes. Hence, the lossless compression phase seems to be more important than the previous phases. Eventually, 7-zip gives the following results:

Lena-Color-512X512				
CR PSNR				
14.30	35.00			

Consequently, we test several softwares and algorithms to establish the lossless compression. As expected, paq8pf, which belongs to PAQ softwares that are famous for their highest compression ratio in the world, produced better results compared to JPEG2000 as shown below:

Lena-Color-512X512					
CR PSNR					
21.80	35.00				

In the next table, we have carried out the research and obtained, by applying paq8px software\_v69, the next results:

Lena-Color-512X512					
CR PSNR					
21.84	35.00				

And with paq8pxd\_v4, we obtained the following results:

Lena-Color-512X512				
CR PSNR				
21.92	35.00			

The last idea that we come across is to avoid storing the treated data within the same dimension of the original image.

Yet, we transform each bloc of 16\*16 pixels into a 4\*16 pixels. As a consequence, the height of the image is divided by 4 and the width is multiplied by 4. This technique allows us to get these results:

Lena-Color-512X512					
CR PSNR					
22.17	35.00				

As a summary, we have actually used the following process:

We split the image into 16X16 blocs and apply the arcsine function with normalization. Next, the two-dimension DCT is implemented by applying quantization which differs from JPEG. Once the zigzag scan is applied, we reorganize the obtained vector during this phase (zigzag-scan phase) to produce a 4x64 matrix. Then, we gather the 4x64 blocs. After that, the obtained image is reorganized again and stored as bitmap format. Finally, we apply the lossless compression algorithm.

#### **6.1 Results Summary**

As shown in Table 3, for Pepper benchmarks, even though the quality falls from 35.21 to only 31.67, the compression ratio increases noticeably to 34.62 which is a considerable gain. Similarly, for Lena the compression ratio increases from 16.45 to 44.44 considerably. In the case of Baboon, while the quality decreases down to 27.67 we obtained 11.23 in compression ratio.

Pepper		Le	ena	Baboon		
CR	PSNR	CR	PSNR	CR	PSNR	
11.35	35.21	16.45	35.83	4.83	33.75	
15.72	34.11	22.17	35.00	5.90	32.14	
21.18	33.15	26.28	34.42	6.72	31.05	
26.77	.77 32.46 35.18 33.41		8.75	29.17		
34.62	31.67	44.44	32.55	11.23	27.67	

Table 3: Obtained results with proposed method.



Figure 36: Peppers decompressed with proposed method, PSNR: 30.07, CR: 57.05

### 6.2 Comparison with JPEG and JPEG 2000

The results of the proposed method (see Table 4) are to larger extent better than the results of the JPEG algorithm. In most cases, the gap is very big, like Pepper, Lena and Girl. But in all cases, the method is better than that of JPEG. Regarding JPEG2000, our method is better in case of Pepper, Baboon, Girl, Splash. In the case of Barbara and Goldhill JPEG2000 slightly exceeds our method.

Note that the benchmarks are 512X512 pixels color images. Except for Goldhill, which is a 720X576 pixels color image and House which is a 256x256 pixels color image.

Table 4: Comparison between JPEG, JPEG2000 and proposed method results.

	JPEG	3 2000	JPEG		Proposed Method (DCT)		Proposed Method (DCT + arcsine)	
	CR	PSNR	CR	PSNR	CR	PSNR	CR	PSNR
Pepper	14.43	34.11	3.16	32.80	15.26	34.11	15.72	34.11
Baboon	5.22	32.10	2.29	29.29	5.82	32.14	5.90	32.14
Sailboat on lake	8.24	33.32	2.82	31.48	9.38	33.32	9.71	33.32
Splash	32.39	37.61	3.89	35.76	36.10	37.61	37.56	37.61
House	20.30	35.51	3.56	33.79	19.82	35.52	20.30	35.52
Girl	17.56	36.13	3.44	33.01	18.27	36.13	17.90	36.13
Lena	23.90	35.00	10.70	34.94	21.48	35.00	22.17	35.00
Goldhill	20.53	34.21	12.54	34.20	16.86	34.21	16.82	34.21

## **CONCLUSION**

In this chapter we have proposed a compression method by using several techniques which allow us to get better results in image compression. Quantization technique, for instance, which differs from JPEG shows remarkable and satisfactory results. In addition, the application of arcsine enhances the usage of our method. On the other hand, the bitmap format storage before lossless compression phase displays better results than the binary format storage.

Additionally, we have tested numerous softwares such as: winrar, winzip, 7zip, nanozip, zpaq, paq8px, paq8pxd...etc, to improve the compression; then we have chosen the best among them. Unlike JPEG, we have not changed the image

towards the YUV space because this would eventually lead to bad results. So, our method treats the image as it is and that what makes it special.

Consequently, the results obtained are quite satisfying. DCT, outperforms JPEG method and sometimes reaches the JPEG2000 outstanding results though we tend to modify some features that have not been mastered yet.

To conclude, DCT, with its motivating outcomes, is no longer an archaic method. In fact, it may compete with the famous wavelets if conveniently and carefully applied.

## **CONCLUSION**

Nowadays, to store images there exist numerous formats among which we distinguish BMP and TIFF format as the most frequent kinds. However, though they provide images with pretty high quality, they greedily require large spaces. If we dispose a bitmap or tiff format image and we wish it to appear on a web page, we should necessarily compress it for the purpose of gaining as much kilo-octets as possible, i.e reducing the file weight.

This present research introduces the image compression approach which is basically concerned with the combination of DCT with a trigonometric function. It emphasizes on the storage quantization of the treated data which are different from those of JPEG. Additionally, we have used lossless compression technique that has given us outstanding results.

In order to demonstrate the performance of the proposed method, a sequence of tests has been performed, where the proposed method achieves better results of both compression ratio and quality of reconstructed image. Overstepping JPEG results and competing that of JPEG2000, this approach yields satisfactory outcomes. In some cases, the results overcome that of JPEG2000.

Nevertheless, the approach has its limitation in the execution time which is relatively slow. On the other hand, the method parameters are not actually mastered in this research. Therefore, a hardworking task is waiting to be improved. This work requires improvement at the level of compression and decompression time as well as the different parameters involved. Add to that, not only the mathematical functions such as the logarithmic function need to be tested but also the wavelets, instead of the DCT, combined with trigonometric functions as well.

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